



SON-2084

image

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Patent Application

Masahiro YAMADA et al.

Serial No. 09/842,021

Filed: April 26, 2001

For: OPTICAL DEVICE, OPTICAL
SYSTEM, METHOD OF
PRODUCTION OF SAME, AND
MOLD FOR PRODUCTION OF
SAME

Examiner: J.M. Schwartz

Group Art: 2873

INFORMATION DISCLOSURE STATEMENT AFTER FILING OF RCE

Commissioner for Patents
Washington, D.C. 20231

Sir:

On January 23, 2004, the Applicant, through its representatives and attorneys, filed an RCE application to consider, among other reasons, an IDS filed on December 19, 2003 submitting documents from a Partial European search report (PESR). The Applicant, through its representatives and attorneys, now hereby brings to the attention of the Examiner additional documents noted on the accompanying PTO Form 1449. Copies are provided except for those documents previously submitted from the PESR.

This will certify that each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement. Specifically, these documents were cited in a European Search Report (ESR) dated February 11, 2004 that also included mention of the documents cited in the PESR of December 1, 2003. A copy of the ESR is provided, together with a copy of an Annex to the ESR showing apparent patent

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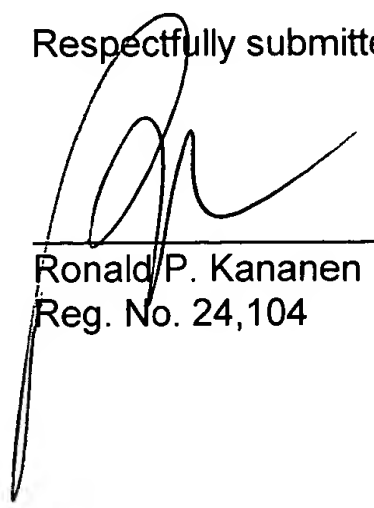
PATENT APPLICATION

family members for each document, where available.

Please consider the newly-cited references, together with the documents submitted from the PESR, and make that consideration of record.

Respectfully submitted,

Dated: 3/10/04



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PTO/SB/08A (10-01)

Approved for use through 10/31/2002.OMB 0651-0031

U. S. Patent and Trademark Office: U. S. DEPARTMENT OF COMMERCE

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Substitute for form 1449A/PTO INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)				Complete if Known	
				Application Number	09/842,021
				Filing Date	April 26, 2001
				First Named Inventor	Masahiro Yamada
				Art Unit	2873
				Examiner Name	J.M. Schwartz
Sheet	1	of	1	Attorney Docket Number	SON-2084

U.S. PATENT DOCUMENTS					
Examiner Initials*	Cite No. ¹	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code ² (if known)			
	AA	4,119,362	10/10/78	Holzman	
	AB	4,190,330	2/26/80	Berremann	
	AC	5,881,042	3/9/99	Knight	
	AD	6,049,430	4/11/00	Heanue et al	

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No. ¹	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³ -Number ⁴ -Kind Code ⁵ (if known)				
	BA	EP 0 241 558 A	10/21/87	Sony Corp.		
	BB	JP 03 283572 A	12/13/91	Sony Corp.		
	BC	SU 1 569 541 A	6/7/90	Bespalov Yuriy		
	BD	JP 11 177123 A	7/2/99	Ricoh Co Ltd		
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	BG	JP 08 001810 A	1/9/96	Koichi Ishida		
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	BI	EP 0 795 913 A2	9/17/97	Eastman Kodak Co.		
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	BL	FR 2 675 910 A	10/30/92	Commissariat Energie Atomique		
	BM	JP 2000 082231 A	3/21/00	TDK Corp.		
	BN	JP 2000 066010 A	3/3/00	Nikon Corp.		
	BO	JP 11 217227 A	8/10/99	Asahi Optical Co. Ltd.		
	BP	JP 11 277543 A	10/12/99	Sharp Corp.		
	BQ	JP 11 348117 A	12/21/99	Nikon Corp.		
	BR	JP 2000 094454 A	4/04/00	Nikon Corp.		

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

¹ Applicant's unique citation designation number (optional). ² See attached Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the application number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	CA	EPO Search Report 2/11/03	

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